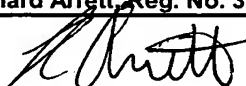


031088 U.S. PTO
10/691916

Vidas, Arrett & Steinkraus Utility Patent Application Transmittal	Atty. Docket No		H01.2-11371																																																																					
	First Inventor		Jan Herschel et al																																																																					
	Title:	A BRAKING DEVICE FOR AN INDUSTRIAL TRUCK																																																																						
	Express Mail Label No.		EL992794895US																																																																					
Application Elements	Address To:	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450																																																																						
<table><tr><td>1. <input checked="" type="checkbox"/></td><td>Fee Transmittal Form</td><td>Pages 2</td></tr><tr><td></td><td><input checked="" type="checkbox"/> Check Included</td><td></td></tr><tr><td>2. <input type="checkbox"/></td><td>Applicant claims small entity status</td><td></td></tr><tr><td>3. <input checked="" type="checkbox"/></td><td>Specification (including 0 pg cover sheet, 6 pg description, 2 pg claims and 1pg abstract)</td><td>Pages 9</td></tr><tr><td>4. <input checked="" type="checkbox"/></td><td>Drawings</td><td>Pages 2</td></tr><tr><td>5. <input checked="" type="checkbox"/></td><td>Oath or Declaration</td><td>Pages 1</td></tr><tr><td></td><td>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</td><td></td></tr><tr><td></td><td>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)</td><td></td></tr><tr><td></td><td>i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application</td><td>Pages</td></tr><tr><td>6. <input checked="" type="checkbox"/></td><td>Application Data Sheet</td><td>Pages 2</td></tr><tr><td>7. <input checked="" type="checkbox"/></td><td>Assignment Papers (cover sheet & documents and check) <input type="checkbox"/> Previously recorded on , Reel , Frames</td><td>Pages 2</td></tr><tr><td>8. <input checked="" type="checkbox"/></td><td>Power of Attorney</td><td>Pages 1</td></tr><tr><td></td><td><input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)</td><td>Pages 3</td></tr><tr><td>9. <input type="checkbox"/></td><td>English Translation Document</td><td>Pages</td></tr><tr><td>10. <input type="checkbox"/></td><td>Information Disclosure Statement <input type="checkbox"/> Copies of Citations (references)</td><td>Pages</td></tr><tr><td>11. <input type="checkbox"/></td><td>Preliminary Amendment</td><td>Pages</td></tr><tr><td>12. <input checked="" type="checkbox"/></td><td>Return Receipt Postcard</td><td>Pages 1</td></tr><tr><td>13. <input type="checkbox"/></td><td>Certified Copy of Priority Document</td><td>Pages</td></tr><tr><td>14. <input type="checkbox"/></td><td>Nonpublication Request</td><td>Pages</td></tr><tr><td>15. <input checked="" type="checkbox"/></td><td>Constructive Petition</td><td>Pages 1</td></tr><tr><td>16. <input type="checkbox"/></td><td>Limited Authorization</td><td>Pages</td></tr><tr><td>17. <input checked="" type="checkbox"/></td><td>VAS Utility Patent Application Transmittal</td><td>Pages 1</td></tr><tr><td>18. <input type="checkbox"/></td><td>Other</td><td>Pages</td></tr></table>				1. <input checked="" type="checkbox"/>	Fee Transmittal Form	Pages 2		<input checked="" type="checkbox"/> Check Included		2. <input type="checkbox"/>	Applicant claims small entity status		3. <input checked="" type="checkbox"/>	Specification (including 0 pg cover sheet, 6 pg description, 2 pg claims and 1pg abstract)	Pages 9	4. <input checked="" type="checkbox"/>	Drawings	Pages 2	5. <input checked="" type="checkbox"/>	Oath or Declaration	Pages 1		a. <input checked="" type="checkbox"/> Newly executed (original or copy)			b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)			i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application	Pages	6. <input checked="" type="checkbox"/>	Application Data Sheet	Pages 2	7. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & documents and check) <input type="checkbox"/> Previously recorded on , Reel , Frames	Pages 2	8. <input checked="" type="checkbox"/>	Power of Attorney	Pages 1		<input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages 3	9. <input type="checkbox"/>	English Translation Document	Pages	10. <input type="checkbox"/>	Information Disclosure Statement <input type="checkbox"/> Copies of Citations (references)	Pages	11. <input type="checkbox"/>	Preliminary Amendment	Pages	12. <input checked="" type="checkbox"/>	Return Receipt Postcard	Pages 1	13. <input type="checkbox"/>	Certified Copy of Priority Document	Pages	14. <input type="checkbox"/>	Nonpublication Request	Pages	15. <input checked="" type="checkbox"/>	Constructive Petition	Pages 1	16. <input type="checkbox"/>	Limited Authorization	Pages	17. <input checked="" type="checkbox"/>	VAS Utility Patent Application Transmittal	Pages 1	18. <input type="checkbox"/>	Other	Pages
1. <input checked="" type="checkbox"/>	Fee Transmittal Form	Pages 2																																																																						
	<input checked="" type="checkbox"/> Check Included																																																																							
2. <input type="checkbox"/>	Applicant claims small entity status																																																																							
3. <input checked="" type="checkbox"/>	Specification (including 0 pg cover sheet, 6 pg description, 2 pg claims and 1pg abstract)	Pages 9																																																																						
4. <input checked="" type="checkbox"/>	Drawings	Pages 2																																																																						
5. <input checked="" type="checkbox"/>	Oath or Declaration	Pages 1																																																																						
	a. <input checked="" type="checkbox"/> Newly executed (original or copy)																																																																							
	b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)																																																																							
	i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application	Pages																																																																						
6. <input checked="" type="checkbox"/>	Application Data Sheet	Pages 2																																																																						
7. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & documents and check) <input type="checkbox"/> Previously recorded on , Reel , Frames	Pages 2																																																																						
8. <input checked="" type="checkbox"/>	Power of Attorney	Pages 1																																																																						
	<input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages 3																																																																						
9. <input type="checkbox"/>	English Translation Document	Pages																																																																						
10. <input type="checkbox"/>	Information Disclosure Statement <input type="checkbox"/> Copies of Citations (references)	Pages																																																																						
11. <input type="checkbox"/>	Preliminary Amendment	Pages																																																																						
12. <input checked="" type="checkbox"/>	Return Receipt Postcard	Pages 1																																																																						
13. <input type="checkbox"/>	Certified Copy of Priority Document	Pages																																																																						
14. <input type="checkbox"/>	Nonpublication Request	Pages																																																																						
15. <input checked="" type="checkbox"/>	Constructive Petition	Pages 1																																																																						
16. <input type="checkbox"/>	Limited Authorization	Pages																																																																						
17. <input checked="" type="checkbox"/>	VAS Utility Patent Application Transmittal	Pages 1																																																																						
18. <input type="checkbox"/>	Other	Pages																																																																						
19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no. Prior Application Information: Examiner Group Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.																																																																								
20. TOTAL NUMBER OF PAGES																																																																								
21. CORRESPONDENCE ADDRESS																																																																								
CUSTOMER NUMBER 490																																																																								
Name Richard Arrett, Reg. No. 33,153																																																																								
Signature 		Date 10/23/2003																																																																						

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810.00

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

Deposit Account Number: 22-0350

Deposit Account Name: Vidas, Arrett & Steinkraus

The Commissioner is authorized to: (check all that apply)

☐ Charge the fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	\$770.00
1002	340	2002	170	Design filing fee	---
1003	530	2003	265	Plant filing fee	---
1004	770	2004	385	Reissue filing fee	---
1005	160	2005	80	Provisional filing fee	---

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
9	-20** =	X	---
Independent Claims	-3** =	X	---
Multiple Dependent Claims			---

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	---
1201	86	2201	43	Independent claims in excess of 3	---
1203	290	2203	145	Multiple dependent claim, if not paid	---
1204	86	2204	43	** Reissue independent claims over original patent	---
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	---

SUBTOTAL (2) (\$ 770.00

** or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Name (Print/Type) Richard Arrett

Registration No. 33,153
(Attorney/Agent)

Signature



Complete (if applicable)

Telephone 952-563-3000

Date 10/27/2003

Complete if Known

Application Number ---
Filing Date Concurrently herewith
First Named Inventor Jan Herschel et al
Examiner Name --
Art Unit --
Attorney Docket No. H01.2-11371

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge-late filing fee oath	---
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	---
1053	130	1053	130	Non-English specification	---
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	---
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	---
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	---
1251	110	2251	55	Extension for reply within first month	---
1252	420	2252	210	Extension for reply within second month	---
1253	950	2253	475	Extension for reply within third month	---
1254	1,480	2254	740	Extension for reply within fourth month	---
1255	2,010	2255	1,005	Extension for reply within fifth month	---
1401	330	2401	165	Notice of Appeal	---
1402	330	2402	165	Filing a brief in support of an appeal	---
1403	290	2403	145	Request for oral hearing	---
1451	1,510	1451	1,510	Petition to institute a public use proceeding	---
1452	110	2452	55	Petition to revive - unavoidable	---
1453	1,330	2453	665	Petition to revive - unintentional	---
1501	1,330	2501	665	Utility issue fee (or reissue)	---
1502	480	2502	240	Design issue fee	---
1503	640	2503	320	Plant issue fee	---
1460	130	1460	130	Petitions to the Commissioner	---
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	---
1806	180	1806	180	Submission of Information Disclosure Stmt	---
8021	40	8021	40	Recording of each patent assignment per property (times number of properties)	\$40.00
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	---
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	---
1801	770	2801	385	Request for Continued Examination (RCE)	---
1802	900	1802	900	Request for expedited examination of a design application	---

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 810.00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Herschel, Krull
Application No.:	Not Assigned
Filed:	Concurrently herewith
For:	A BRAKING DEVICE FOR AN INDUSTRIAL TRUCK
Group Art Unit:	Not Assigned

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: H01.2B-11371-US01

**CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE
AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)**

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: 10/23/03

By: 

Richard A. Arrett
Registration No.: 33153

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001

f:\wpwork\raa\11371us01_pet_20031017.doc